

Lifetime Allowance Supplementary Information Form Pension Protection Fund



Please complete this form if you expect the total of your benefits (those in payment and those due to come into payment) from all of your pensions to exceed £30,000.00 per annum.

Part A Your Personal Details

| | | | |
|------------------------|----------------------|----------------|----------------------|
| Title: | <input type="text"/> | Surname: | <input type="text"/> |
| First names (in full): | <input type="text"/> | | |
| Phone number: | <input type="text"/> | Mobile number: | <input type="text"/> |
| Email: | <input type="text"/> | | |
| Retirement date: | <input type="text"/> | | |

Part B Details of Benefits From Other Pension Schemes

Please provide details of all your other benefits that are either in payment or to which you are entitled at the same retirement date shown at the beginning of this form.

This includes income drawdown arrangement benefits which have already been designated or are due to be designated on or before the retirement date shown at the beginning of this form.

If you don't have this information, you should obtain it from the administrator/provider of the pension arrangement in question. If you're not sure of their contact details, you can contact the Pension Tracing Service by either:

1. Writing to them at: Pension Tracing Service, The Pension Service 9, Mail Handling Site A, Wolverhampton, WV98 1LU.
2. Or calling them on: 0345 600 2537

Alternatively you can complete an online tracing form at: www.gov.uk/find-lost-pension

You don't need to include details of the following types of benefit:

1. Any entitlement you have to a spouse or dependant's pension.
2. Pension benefits that you will take after the retirement date at the beginning of this form. These will be tested against the lifetime allowance when you take the benefits.
3. State pensions.

Part B1 Pensions already in payment before 6 April 2006

I am in receipt of pension benefits that commenced before 6 April 2006: YES Please provide details below
 NO Go to **PART B2**

Details for each pension in payment before 6 April 2006:

| | Annual Gross Rate of Pension in Payment on the retirement date shown at the beginning of this form | Annual Gross Rate of Pension in Payment on 5 April 2006 |
|-----------|--|---|
| Pension 1 | <input type="text"/> | <input type="text"/> |
| Pension 2 | <input type="text"/> | <input type="text"/> |
| Pension 3 | <input type="text"/> | <input type="text"/> |
| Pension 4 | <input type="text"/> | <input type="text"/> |
| Pension 5 | <input type="text"/> | <input type="text"/> |

Part B2 Pensions commencing on or after 6 April 2006 & before the retirement date shown at the beginning of this form

I am in receipt of pension benefits that commenced on or after 6 April 2006 YES Please provide details below
 and/or NO Go to **PART B3**

I have transferred benefits to a qualifying recognised overseas pension scheme on or after 6 April 2006
 and/or
 I have designated funds for income drawdown

Details for each pension commencing on or after 6 April 2006 & before the Retirement Date shown at the beginning of this form.

(This will have been provided to you at the time that benefits were taken.)

For each pension benefit that commenced payment on or after 6 April 2006 and for each overseas transfer, or income drawdown designation, made on or after 6 April 2006, please provide the following details

| | Percentage of Lifetime Allowance that the total benefits taken represents (at time benefits were taken) (% to 2 decimal places) | Amount of tax-free cash received (£) | Date the benefit was taken |
|-----------|---|--------------------------------------|----------------------------|
| Pension 1 | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Pension 2 | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Pension 3 | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Pension 4 | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Pension 5 | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Have you been informed by your pension scheme, since your pension commenced payment, that it has been increased beyond the maximum level? YES
 NO

Please note: In some circumstances, the percentage of Lifetime Allowance may increase after benefits were taken. This will occur where an increase of your pension above 5% compound or the increase in the Retail Price Index occurs after 6 April 2006. Your pension scheme would have made you aware of any increase beyond the maximum level and would have advised you of any additional Lifetime Allowance used. Where this has occurred, the increase in the Lifetime Allowance should be quoted above.

Part B3 Pensions from other arrangements coming into payment on or before the retirement date shown at the beginning of this form

I have other pension benefits that are due to come into payment on the retirement date shown at the beginning of this form:

YES Please provide details below

NO Go to **PART C1**

Details of other pension benefits due to come into payment on the retirement date shown at the beginning of this form.

For **each** pension that is due to come into payment on the date of retirement shown at the beginning of this form, please provide the details requested. The last column in the table is seeking to identify whether the benefit in question will be treated as having been paid before or after the benefit payable from the PPF. Please also include any income drawdown designations or overseas transfers.

| | Percentage of Lifetime Allowance that the total benefit taken represents (% to 2 decimal places) | OR Enhanced Fund Value (£) | Benefits treated as having been paid before or after the benefit from the PPF. (Delete as appropriate) |
|-----------|--|--------------------------------------|--|
| Pension 1 | <input type="text"/> | <input type="text"/> | <input type="text" value="Before / After"/> |
| Pension 2 | <input type="text"/> | <input type="text"/> | <input type="text" value="Before / After"/> |
| Pension 3 | <input type="text"/> | <input type="text"/> | <input type="text" value="Before / After"/> |
| Pension 4 | <input type="text"/> | <input type="text"/> | <input type="text" value="Before / After"/> |
| Pension 5 | <input type="text"/> | <input type="text"/> | <input type="text" value="Before / After"/> |

If our tests identify that you may exceed the Lifetime Allowance limits, we may need to further investigate your entitlement to benefits from other sources.

Part C1 Protection and Lifetime Allowance Enhancement

Please tick

I am in receipt of a protection certificate provided by HMRC

YES Please provide details in **PART C2** and **PART C3** and send us a copy of the HMRC Registration Certificate(s)*

NO Go to **PART D**

Please provide us with information requested regarding your protection. The information can be found on the certificate issued to you by HMRC.

* Any certificates will be returned to you

Part C2 Protection

Please tick

I hold Enhanced Protection:

YES Please provide details

Total Fund at 5 April 2006 (£):

Protected Cash at 5 April 2006 (%):

NO Go to **PART D**

AND / OR

Please tick

I hold Primary Protection:

YES Please provide details

Total Fund at 5 April 2006 (£):

Protected Cash at 5 April 2006 (%):

NO Go to **PART D**

AND / OR

Please tick

I hold Individual Protection 2014:

YES Please provide details

Protected Lifetime Allowance (£):

NO Go to **PART D**

Part C2 Protection (continued)

AND / OR

| | Please tick | Please provide details |
|------------------------------------|------------------------------|--|
| I hold Individual Protection 2016: | YES <input type="checkbox"/> | Protected Lifetime Allowance (£): <input type="text"/> |
| | NO <input type="checkbox"/> | Go to PART D |

AND / OR

| | Please tick | Please provide details |
|--------------------------|------------------------------|--|
| I hold Fixed Protection: | YES <input type="checkbox"/> | Protected Lifetime Allowance of £1.8m |
| | NO <input type="checkbox"/> | Go to PART D |

AND / OR

| | Please tick | Please provide details |
|-------------------------------|------------------------------|--|
| I hold Fixed Protection 2014: | YES <input type="checkbox"/> | Protected Lifetime Allowance of £1.5m |
| | NO <input type="checkbox"/> | Go to PART D |

AND / OR

| | Please tick | Please provide details |
|-------------------------------|------------------------------|---|
| I hold Fixed Protection 2016: | YES <input type="checkbox"/> | Protected Lifetime Allowance of £1.25m |
| | NO <input type="checkbox"/> | Go to PART D |

Please also ensure Part B is completed in respect of tax free cash received.

Please note:

1. If you've registered for both Enhanced and Primary Protection then please include details of both. If you've registered for Enhanced Protection, you can't pay any contributions to a registered defined contribution arrangement after 5 April 2006 and only limited service accrual may be allowed under a registered defined benefit arrangement; otherwise Enhanced Protection will be lost.
2. For further information about protecting your benefits accrued before 6 April 2006, please visit www.hmrc.gov.uk

Part C3 Additional Lifetime Allowance Enhancement

| | | |
|---|------------------------------|------------------------------|
| I hold an enhanced Lifetime Allowance: (This doesn't include an enhanced lifetime allowance factor gained under Primary Protection) | YES <input type="checkbox"/> | Please provide details below |
| | NO <input type="checkbox"/> | Go to PART D |

Details for Additional Lifetime Allowance Enhancement:

| | Lifetime Allowance Enhancement Factor (to 2 decimal places) |
|---|--|
| Pension Credit Factor: | <input type="text"/> |
| Pre Commencement Pension Credit Factor: | <input type="text"/> |
| Non-residence Enhancement Factor: | <input type="text"/> |
| Overseas Transfer Factor: | <input type="text"/> |

Part D Declaration

If you wish to use post, please sign and date the form and return to the following address:
Pension Protection Fund, PO Box 254, Wymondham, NR18 8DN

Alternatively, you can send us scans of this form and documentary evidence via our 'Send us a document' facility which is available in the 'Communications' menu when logged in to the Pension Protection Fund member website.

I confirm that the information I have provided is correct and complete to the best of my knowledge. If further tax becomes payable because the information I have provided on this form is proven to be incorrect then I understand that I will be wholly and personally liable for the tax charge due and any resultant penalty as may be imposed by HM Revenue & Customs.

Signature:

Name:

Date:

Date of birth:

National Insurance number:

NB: For the purposes of the General Data Protection Regulations, the information provided will be used for operating the pension scheme in respect of your interests and as such it may be available to third parties authorised by the Pension Protection Fund to process your data.

For more information on how we use your data, please visit our website at:
www.ppfmembers.org.uk/en/PrivacyPolicy